



Research Paper

Functional Specialty of CD40 and Dendritic Cell Surface Lectins for Exogenous Antigen Presentation to CD8⁺ and CD4⁺ T Cells



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ABSTRACT

Dendritic cells (DCs) are major antigen-presenting cells that can efficiently prime and cross-prime antigen-specific T cells. Delivering antigen to DCs via surface receptors is thus an appealing strategy to evoke cellular immunity. Nonetheless, which DC surface receptor to target to yield the optimal CD8⁺ and CD4⁺ T cell responses remains elusive. Herein, we report the superiority of CD40 over 9 different lectins and scavenger receptors at evoking antigen-specific CD8⁺ T cell responses. However, lectins (e.g., LOX-1 and Dectin-1) were more efficient than CD40 at eliciting CD4⁺ T cell responses. Common and distinct patterns of subcellular and intracellular localization of receptor-bound α CD40, α LOX-1 and α Dectin-1 further support their functional specialization at enhancing antigen presentation to either CD8⁺ or CD4⁺ T cells. Lastly, we demonstrate that antigen targeting to CD40 can evoke potent antigen-specific CD8⁺ T cell responses in human CD40 transgenic mice. This study provides fundamental information for the rational design of vaccines against cancers and viral infections.

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1. Introduction

Dendritic cells (DCs) are professional antigen presenting cells (APCs) that can efficiently prime T cells. Both endogenous and exogenous antigens are efficiently presented by DCs in the context of major histocompatibility complex class I and II (MHC I and II)/peptide

Abbreviations: ANOVA, analysis of variance; AP, alkaline phosphatase; APC, antigen-presenting cells; CD, cluster of differentiation; CFSE, carboxyfluorescein succinimidyl ester; Coh, cohesin; CTL, cytotoxic T lymphocyte; DC, dendritic cell; EEA1, early endosome antigen 1; ELISA, enzyme-linked immunosorbent assay; ELISpot, enzyme-linked immunosorbent spot; Doc, dockerin; Flu.M1, influenza virus matrix protein 1; GM-CSF, granulocyte-macrophage colony-stimulating factor; HA1, hemagglutinin subunit 1; hCD40Tg, human CD40 transgenic; HLA, human leukocyte antigen; HPV, human papillomavirus; HRP, horseradish peroxidase; IFN, interferon; mAb, monoclonal antibody; IL, interleukin; i.p., intraperitoneal (ly); JaCoP, Just another Colocalization Plugin; LAMP-1, lysosomal-associated membrane protein 1; MART-1, melanoma antigen recognized by T cells 1; mDC, myeloid dendritic cell; MHC, major histocompatibility complex; Mo-DC, monocyte-derived dendritic cell; NHP, non-human primate; NP, nucleoprotein; Poly(I:C), polyinosinic:polycytidylic acid; PBMC, peripheral blood mononuclear cells; PBS, phosphate-buffered saline; pDC, plasmacytoid dendritic cell; PSA, prostate specific antigen; s.c., subcutaneous (ly); TMB, 3,3',5,5'-tetramethylbenzidine; TLR, toll-like receptor; TNF, tumor necrosis factor.

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complexes. Among various types of APCs, DCs are the most efficient at cross-presenting antigens to T cells (Delamarre and Mellman, 2011; Jung et al., 2002; Segura and Villadangos, 2009), although the types and magnitude of T cell responses largely rely on the functional specialty and plasticity of DC subsets.

T cell-mediated immunity plays crucial roles in therapeutic immunity against cancers and viral infections. The potent ability of DCs to cross-prime CD8⁺ T cells positions them as novel cellular targets for the rational design of vaccines. In line with this premise, Bonifaz et al. (2002, 2004) demonstrated that the efficiency of antigen cross-presentation by DCs, assessed by measuring the magnitude of antigen-specific CD8⁺ T cell responses, could be improved over 100-fold by targeting antigens to DEC205 in mice. This seminal observation has led many scientists to further study the biology of DC surface receptors and the use of the “DC-targeting vaccines” against cancers and viral infections.

For more than a decade, researchers have been attempting to optimize DC-targeting vaccines by delivering antigens to different DC surface receptors. These receptors include c-type lectins (e.g., DEC205, DC-SIGN, CD207, LOX-1, DC-ASGPR, Dectin-1, DCIR, DCIR2, CLEC6, CLEC9A, and CLEC12A) (Bonifaz et al., 2004; Caminschi et al., 2008; Carter et al., 2006; Delneste et al., 2002; Dudziak et al., 2007; Duluc et al., 2014; Flacher et al., 2014; Flamar et al., 2013; Idoyaga et al., 2008, 2011; Kastenmuller et al., 2014; Lahoud et al., 2009; Li et al.,

2012; Meyer-Wentrup et al., 2008; Ni et al., 2010; Sancho et al., 2008; Tacken et al., 2005, 2007, 2011; Weck et al., 2008), as well as non-lectin receptors, including CD40 (Chatterjee et al., 2012; Cohn et al., 2013; Flamar et al., 2013; Rosalia et al., 2015; Williams et al., 2012), mannose receptor (Tsuji et al., 2011), and integrins (Castro et al., 2008). Antigens delivered to DCs via each of these receptors have been reported to elicit certain levels of antigen-specific CD8⁺ T cell responses *in vitro* in humans and *in vivo* in mice or non-human primates (NHPs). However, it still remains unclear which targeted receptors are the most efficient at priming and boosting antigen-specific CD8⁺ and CD4⁺ T cell responses. Finding a specific DC surface receptor that permits us to efficiently evoke potent CD8⁺ and CD4⁺ T cell responses will be fundamental for the rational design of effective DC-targeting vaccines against cancers and viral infections. Recent pre-clinical (in NHPs) and clinical data of DEC205-targeting vaccines also suggest that efficient priming and activation of antigen-specific CD8⁺ cytotoxic T lymphocytes (CTLs) are still major challenges for the success of DC-targeting vaccines for cancer immunotherapy (Kastenmuller et al., 2014). However, it is also important to note that CD4⁺ T cells are crucial for the longevity of memory CD8⁺ CTL-mediated immunity (Janssen et al., 2003), which will determine the efficacy of vaccines in many circumstances.

In this study, we first compared nine different human DC surface receptors for their ability to promote antigen cross-presentation to CD8⁺ T cells. We found that CD40 was the most efficient at priming and boosting antigen-specific CD8⁺ CTLs that were functional. We then compared CD40 with the two best DC lectins, LOX-1 and Dectin-1, for their ability to present antigens to CD4⁺ T cells. Interestingly, both LOX-1 and Dectin-1 were superior to CD40 at evoking antigen-specific CD4⁺ T cell responses. To assess the mechanistic insights of the functional dichotomy of CD40 versus lectins (e.g., LOX-1 and Dectin-1) in antigen presentation to CD8⁺ and CD4⁺ T cells, we have examined subcellular and intracellular trafficking of the three different receptor-bound antibodies in DCs. We further investigated the kinetics of antigen cross-presentation by DCs targeted with antigen via different receptors. Lastly, we were able to show that antigen targeting to CD40 results in potent CD8⁺ T cell responses *in vivo* using human CD40 transgenic (hCD40Tg) mice. This *in vivo* model further allowed us to conclude that CD40 is superior to Langerin, another lectin receptor, at evoking antigen-specific CD8⁺ T cell responses, while targeting antigen to Langerin resulted in greater levels of antigen-specific CD4⁺ T cell responses than targeting to CD40.

2. Materials and Methods

2.1. Antibodies, Peptides, Tetramers and Other Reagents

Monoclonal antibodies (mAbs) specific to CD4, CD8, CD11c, CD80, CD83, CD86, perforin and interferon (IFN) γ were purchased from BioLegend. mAbs specific to CD3, CD19, CD123, Lin-1, HLA-DR, CD45RA, and CD45RO were purchased from BD Biosciences. mAbs to CD14 and HLA-ABC were purchased from eBioscience. LIVE/DEAD fixable dead cell stain kit and mAbs to granzyme B were from Invitrogen. HLA-A*0201-influenza virus matrix protein 1 (Flu.M1)_{58–66}, HLA-A*0201-melanoma antigen recognized by T cells 1 (MART-1)_{26–35}, and H-2D^b-human papillomavirus (HPV) 16.E7_{49–57} tetramers were from Beckman Coulter. Flu.M1_{58–66} and MART-1_{26–35} (27L) peptides were synthesized by Bio-Synthesis. Overlapping 15-mer peptides (staggered by 11 amino acids) spanning the entire nucleoprotein (NP) (A/environment/Viet Nam/1203/2004 H5N1) and hemagglutinin subunit 1 (HA1) (A/PR/8/34 H1N1), HPV16.E6 and E7 proteins and human prostate specific antigen (PSA) were purchased from Mimotopes. Carboxyfluorescein succinimidyl ester (CFSE) (Invitrogen) was used for measuring CD8⁺ T cell proliferation. Human granulocyte-macrophage colony-stimulating factor (GM-CSF) was purchased

from the Baylor University Medical Center Investigational Pharmacy. Interleukin (IL)-2, IL-4, IL-7, and IL-15 were purchased from PeproTech.

2.2. DC-targeting mAbs

mAbs specific for the ectodomains of human receptors [α LOX-1 (15C4) (Li et al., 2012), α DC-ASGPR (49C11) (Li et al., 2012), α DCIR (9E8) (Klechevsky et al., 2010), α CD40 (12E12) (Flamar et al., 2013), α Dectin-1 (15E2) (Ni et al., 2010), α DEC205 (MG38) (Bonifaz et al., 2002), and α Langerin (4C7)] were used. mAbs specific for the ectodomains of human MARCO (11A8), CLEC6 (9B9), and DC-SIGN/L (16E7) were generated using receptor ectodomain.hlgG (human IgG1 Fc) and human placental alkaline phosphatase (AP), as previously described (Ni et al., 2010). Cloned mAbs were purified by HPLC using MabSelect resin (GE Healthcare). The specificities of mAbs were verified by their specific binding to corresponding receptors expressed on 293F cells transfected with the full-length receptors. The specificities of the mAbs were also confirmed by ELISA by comparing them to the recombinant receptor-Fc and hlgG-Fc fusion proteins (Ni et al., 2010). Chimeric mAbs containing human IgG4 heavy chain with two site mutations (S228P and L235E) (Reddy et al., 2000) were made to further abolish non-specific binding to Fc receptors.

2.3. mAb-Doc, Coh-antigen and Their Conjugates

Recombinant fusion proteins of mAb-dockerin (Doc), cohesin (Coh)-Flu.M1_{58–66}, and Coh-MART-1_{26–35} (27L) were previously described (Flamar et al., 2012; Ni et al., 2010). mAb-antigen conjugates were formed by mixing one molar equivalent of mAb-Doc with two molar equivalents of Coh-antigen proteins in 1X PBS with Ca²⁺ and Mg²⁺ (Biosources). The Doc and Coh domains self-associate, forming a stable and specific complex.

2.4. Recombinant Fusion Proteins of mAb-Flu.NP, -Flu.HA1 and -HPV16.E6/7

Production of mAb-NP and mAb-HA1 proteins was as previously described (Li et al., 2012; Skinner et al., 2014). Fusion proteins bearing the E6 and E7 proteins of HPV16 were made using the same method.

2.5. Cells

All healthy (cancer-free) blood donors provided a written informed consent prior to inclusion in the study in accordance with the approval by the Institutional Review Boards at Baylor Research Institute. Mo-DCs were prepared by culturing purified blood monocytes from healthy individuals. Briefly, monocytes enriched from fresh peripheral blood mononuclear cells (PBMCs) or frozen elutriated cell fractions were cultured in DC culture medium (CellGenix) in the presence of 100 ng/ml human GM-CSF and 50 ng/ml IL-4 for 6 days. On day 3, culture medium was replaced with fresh medium containing the same concentrations of GM-CSF and IL-4. PBMCs of HLA-A*0201⁺ healthy donors were fractionated by elutriation. Total CD4⁺ and CD8⁺ T cells were enriched using enrichment kits (StemCell Technologies). Naïve CD8⁺ T cells (CD45RA⁺CD45RO⁻) (purity >99.2%) were further sorted on a FACSAria II (BD Biosciences). Monocytes and total B cells were purified using enrichment kits (StemCell Technologies). Blood myeloid DCs (mDCs, Lin-1⁻HLA-DR⁺CD11c⁺CD123⁻) and plasmacytoid DCs (pDCs, Lin-1⁻HLA-DR⁺CD11c⁻CD123⁺) were pre-enriched using a pan-DC enrichment kit (StemCell Technologies) and then sorted. All flow cytometry data were collected on a FACSCanto II (BD Biosciences) and analyzed with FlowJo v9 (Tree Star).

2.6. T Cell Assays

A total of 5×10^3 monocyte-derived DCs (Mo-DCs) were loaded with the indicated amounts of recombinant proteins or antigens and

co-cultured with 2×10^5 purified autologous CFSE-labeled CD8⁺ T cells for nine days in the presence of 20 units/mL IL-2 and 10 units/mL IL-7. In experiments using PBMCs, 50 units/mL IL-15 was added to the cultures on day 2. RPMI 1640 medium (Gibco), supplemented with 10% heat-inactivated human AB serum (Gemini), 50 unit/mL penicillin, 50 µg/mL streptomycin, 2 mM L-glutamate, non-essential amino acids (Sigma), 25 mM HEPES (Life Technologies), and 1 mM sodium pyruvate (Sigma), was used. CD8⁺ T cells were then stained with tetramer and αCD8 mAb. In some experiments, CD8⁺ T cells were stained with tetramer, αGranzyme B and αPerforin mAbs at the same time. To assess intracellular IFNγ expression, T cells were restimulated with the indicated peptides for 6 h in the presence of brefeldin A (BD Biosciences), as per the manufacturer's protocols. To measure cytotoxicity of CD8⁺ T cells, a 5 h ⁵¹Cr-release assay was performed using T2 cells loaded with the indicated peptides. The cytotoxicity of MART-1_{26–35}-specific CD8⁺ T cells was also measured using cell lines (MEL290 and K562) that were grown in complete RPMI 1640 medium containing 10% FCS (Gemini).

2.7. Immunofluorescence

Mo-DCs (2×10^5 /well) were plated in 24-well culture plates. αCD40 (12E12), αLOX-1 (15C4), or αDectin-1 (15E2) mAbs conjugated with Alexa Fluor 647 were added at 1 µg/mL followed by a 1-h incubation on ice. For internalization assays, cells were incubated for 1 h in a CO₂ incubator at 37 °C. Cells were prefixed with 3% paraformaldehyde (Polysciences) for 30 min on ice and then fixed for 20 min at room temperature. Cells were then stained with Alexa Fluor 488-coupled rabbit anti-human early endosome antigen 1 (EEA1) or anti-human lysosomal-associated membrane protein 1 (LAMP-1) in PBS containing 0.1% saponin. Each optical slice was 0.5 µm thick. Images were acquired on a Leica DMI16000 confocal microscope (Nanterre, France). Image-J software was used to perform image analysis, channel imaging, and surface plotting (3D presentation). For each donor ($n = 9$) and each labeling antibody (αCD40, αLOX-1, and αDectin-1 mAbs), at least 10 pictures each with more than 10 cells were taken and analyzed. Just another Colocalization Plugin (JaCoP) software was used to calculate Mander's coefficients.

2.8. Animals and Immunization

All mouse experiments were conducted with the approval of the Institutional Animal Care and Use Committee at Baylor Research Institute. Animals were housed in a pathogen-free environment at the animal facility of Baylor Research Institute. All facilities received daily monitoring and care from the animal facility staff under the supervision of a veterinarian. A maximum of 5 mice were housed per cage. hCD40Tg mice (ImmuRx) and wild-type C57BL/6 (Jackson Laboratory) used were 6-to-10-week-old females. Animals were immunized either s.c. or i.p., as indicated, on days 0, 14 and 28, with 100 µL PBS containing 30 µg of either αCD40-HPV16.E6/7 or αLangerin-HPV16.E6/7 and 50 µg polyinosinic:polycytidylic acid [poly(I:C)] (Invivogen). Anesthesia and euthanasia was achieved by cervical dislocation after the mice were made unconscious from exposure to isoflurane. During anesthesia, peripheral blood was collected from the retro-orbital sinus and used for tetramer staining. Spleens were collected after euthanasia and processed into single-cell suspension for ELISpot assays.

2.9. ELISpot Assays

Mouse IFNγ ELISpot^{plus} pre-coated plates and reagents were obtained from Mabtech. Briefly, purified splenic CD4⁺ and CD8⁺ T cells from immunized mice were stimulated with γ-irradiated wild-type splenocytes loaded with the indicated peptide pools (1 µM). After a 40 h incubation, plates were washed and incubated with biotinylated

rat anti-mouse IFNγ for 2 h. After washing the plates, streptavidin-horseradish peroxidase (HRP) was added and incubated for 1 h. IFNγ was detected using 3,3',5,5'-tetramethylbenzidine (TMB). The reaction was terminated once the formation of discrete purple-colored spots was detected. Spots were counted using ELISpot services (Zellnet Consulting).

2.10. Statistics

Statistical significance was determined using the analysis of variance (ANOVA) and Student's *t*-test with Prism 6 software (GraphPad Software). Significance was set at $P < 0.05$.

2.11. Accession Codes

GenBank references for mAbs and recombinant proteins are αMARCO (11A8): KP684033 and KP684034; αDC-SIGN (16E7): HQ912690.1 and HQ912691.1; αCLEC6 (9B9): KP684031 and KP684032; αLangerin (4C7): JX002669.

3. Results

3.1. The Superiority of CD40 Over Eight Other Receptors for CD8⁺ T Cell Cross-priming

Herein, we compared the levels of MART-1_{26–35}-specific CD8⁺ T cell responses primed with Mo-DCs loaded with different mAb-MART-1_{26–35} (27L) conjugates. We used nine mAbs that were specific to different DC surface receptors. All mAbs were engineered as chimeras containing the mouse V-region and human IgG4 Fc with two mutations (S228P and L235E) to further abolish their non-specific binding to Fc receptors (Reddy et al., 2000). mAb-antigen conjugates were made through non-covalent stable interactions between Coh-antigen and mAb-Doc, and they were well suited for targeting antigens to DCs via surface receptors (Flamar et al., 2013; Ni et al., 2010). Mo-DCs generated in serum-free DC culture medium containing GM-CSF and IL-4 expressed CD11c, CD14, costimulatory molecules (CD80, CD83, CD86) and high levels of HLA-ABC and HLA-DR (Supplemental Fig. 1A). However, the expression levels of such surface molecules were variable among Mo-DCs generated with monocytes from different donors (Supplemental Fig. 1B).

Fig. 1A shows that DCs loaded with any of the eight different mAb-MART-1_{26–35} (27L) conjugates were able to prime various levels of MART-1_{26–35}-specific CD8⁺ T cell responses, as measured by tetramer staining. DCs loaded with conjugates made with αLOX-1 and αDEC205 resulted in similar levels of MART-1_{26–35}-specific CD8⁺ T cell responses, but they were more efficient at priming MART-1_{26–35}-specific CD8⁺ CTLs than conjugates made with other mAbs (αDC-ASGPR, αCLEC6, αMARCO, and control IgG4). Thus, we selected the αLOX-1 conjugate and compared it to αCD40 and αDectin-1 conjugates in the second experiments (Fig. 1B). The αCD40 conjugate was more efficient than the other two at priming MART-1_{26–35}-specific naïve CD8⁺ T cells. Representative tetramer staining data for Fig. 1A and B are presented in Supplemental Fig. 2A and B, respectively.

Fig. 1C shows that DCs expressed higher levels of CD40 and DCIR than other receptors tested, although the αDCIR conjugate was less efficient than the αLOX-1 conjugate at priming MART-1_{26–35}-specific naïve CD8⁺ T cells. DCs also expressed slightly higher levels of DC-SIGN/L, DEC205, and DC-ASGPR than LOX-1, CLEC6, and Dectin-1. These data suggested that the magnitude of antigen-specific CD8⁺ T cell responses elicited with different mAb-MART-1_{26–35} (27L) conjugates (Fig. 1A and B) does not necessarily correlate with the surface expression levels of the receptors targeted or consequently with antigen loads (Reuter et al., 2015). We thus concluded that the αCD40-MART-1_{26–35} (27L) conjugate was more efficient than eight other mAb conjugates at priming MART-1_{26–35}-specific CD8⁺ T cells.

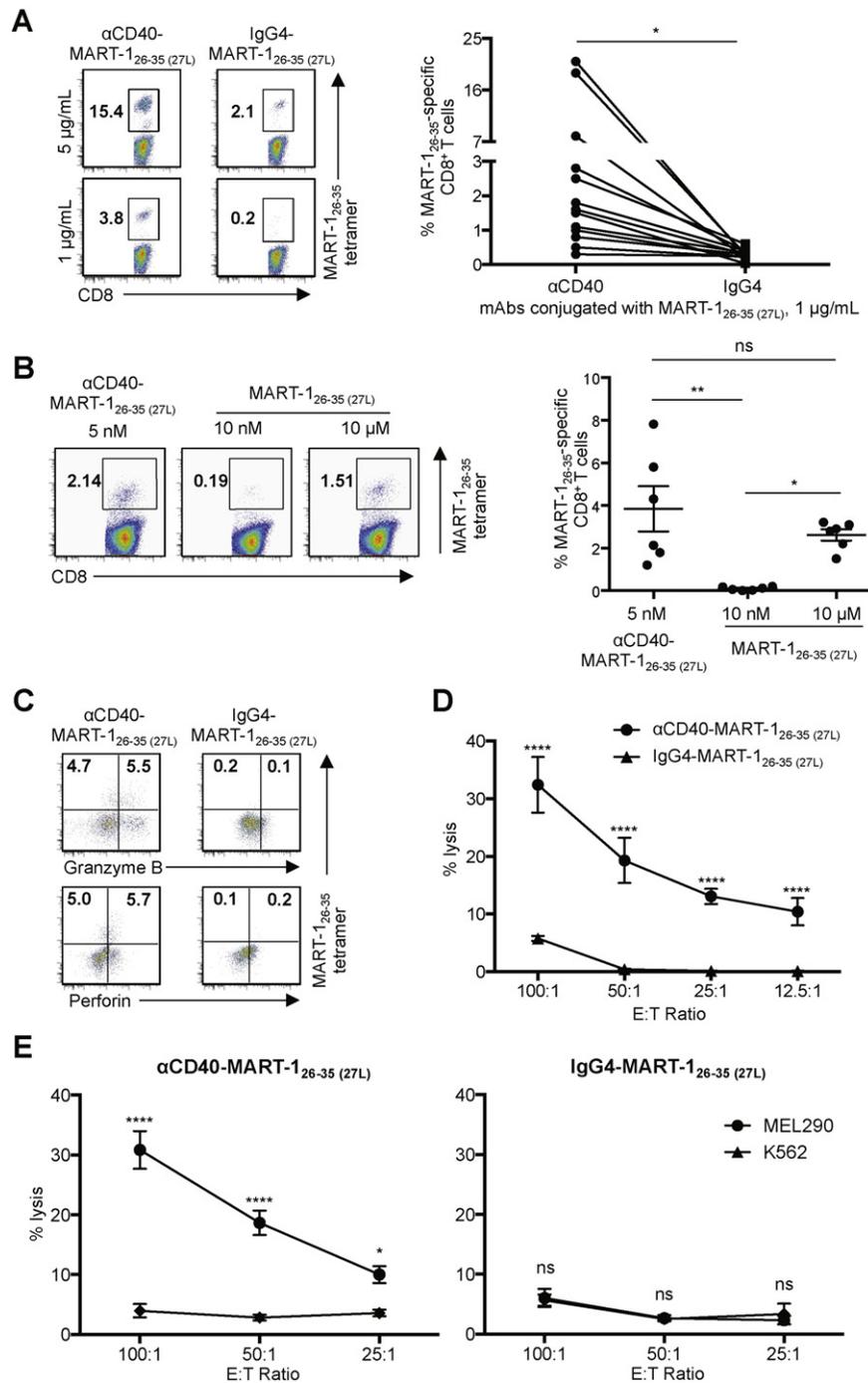


Fig. 2. CD8⁺ CTLs primed with CD40-targeted DCs are functional. **A.** Purified naïve CD8⁺ T cells were co-cultured with Mo-DCs loaded with the indicated amounts of $\alpha\text{CD40-MART-1}_{26-35}$ (27L) or IgG4-MART-1₂₆₋₃₅ (27L) conjugates for 9 days. CD8⁺ T cells were then stained with HLA-A*0201-MART-1₂₆₋₃₅ tetramer. Representative flow cytometric data (left) and donor-matched frequencies of MART-1₂₆₋₃₅-specific CD8⁺ T cells induced with $\alpha\text{CD40-MART-1}_{26-35}$ (27L)- or IgG4-MART-1₂₆₋₃₅ (27L)-loaded Mo-DCs are shown (right). Dots represent data generated with cells from individual healthy donors ($n = 13$). Significance was determined using a paired *t*-test. **B.** As in **A**, purified naïve CD8⁺ T cells were co-cultured with Mo-DCs loaded with the indicated amounts of $\alpha\text{CD40-MART-1}_{26-35}$ (27L) conjugate or MART-1₂₆₋₃₅ (27L) peptide. CD8⁺ T cells were stained with HLA-A*0201-MART-1₂₆₋₃₅ tetramer. Representative flow cytometric data (left) and summarized data (right). Dots represent data generated with cells from individual healthy donors ($n = 6$). Data are presented as mean \pm SD. Significance was determined using an ANOVA test. **C.** CD8⁺ T cells in **A** primed with Mo-DCs loaded with 1 $\mu\text{g/mL}$ $\alpha\text{CD40-MART-1}_{26-35}$ (27L) or IgG4-MART-1₂₆₋₃₅ (27L) were stained for granzyme B and perforin. **D.** A 5 h ⁵¹Cr release assay using T2 cells loaded with 10 μM MART-1₂₆₋₃₅ peptide were used as target cells. CD8⁺ T cells primed with Mo-DCs loaded with 1 $\mu\text{g/mL}$ $\alpha\text{CD40-MART-1}_{26-35}$ (27L) or IgG4-MART-1₂₆₋₃₅ (27L) were used as effector cells. **E.** A 5 h ⁵¹Cr release assay using MEL290 and control K562 cell lines as target cells. CD8⁺ T cells primed with Mo-DCs loaded with 1 $\mu\text{g/mL}$ $\alpha\text{CD40-MART-1}_{26-35}$ (27L) (left) or IgG4-MART-1₂₆₋₃₅ (27L) (right) were used as effector cells. Error bars in **D** and **E** indicate SD of triplicate assays. Significance was determined using an ANOVA test. Two independent experiments resulted in similar data. *, $P < 0.05$; **, $P < 0.01$; ***, $P < 0.005$; ****, $P < 0.001$; ns, not significant.

(Fig. 3C) as well as IFN γ (Fig. 3D). In line with this, they were also able to lyse T2 cells loaded with Flu.M1₅₈₋₆₆ peptide at both 10 and 1 nM (Fig. 3E, left panel), while CD8⁺ CTLs elicited with IgG4-Flu.M1₅₈₋₆₆ conjugate only lysed target cells loaded with 10 nM Flu.M1₅₈₋₆₆ peptide (Fig. 3E, right panel). Taken together, we concluded

that $\alpha\text{CD40-Flu.M1}_{58-66}$ conjugate targeted CD40 and could thus efficiently activate Flu.M1₅₈₋₆₆-specific memory CD8⁺ CTLs. In addition, targeting Flu.M1₅₈₋₆₆ to DCs via CD40 is more efficient at boosting Flu.M1₅₈₋₆₆-specific CD8⁺ T cell responses than targeting Flu.M1₅₈₋₆₆ to other receptors (Supplemental Fig. 4), including LOX-1 or Dectin-1.

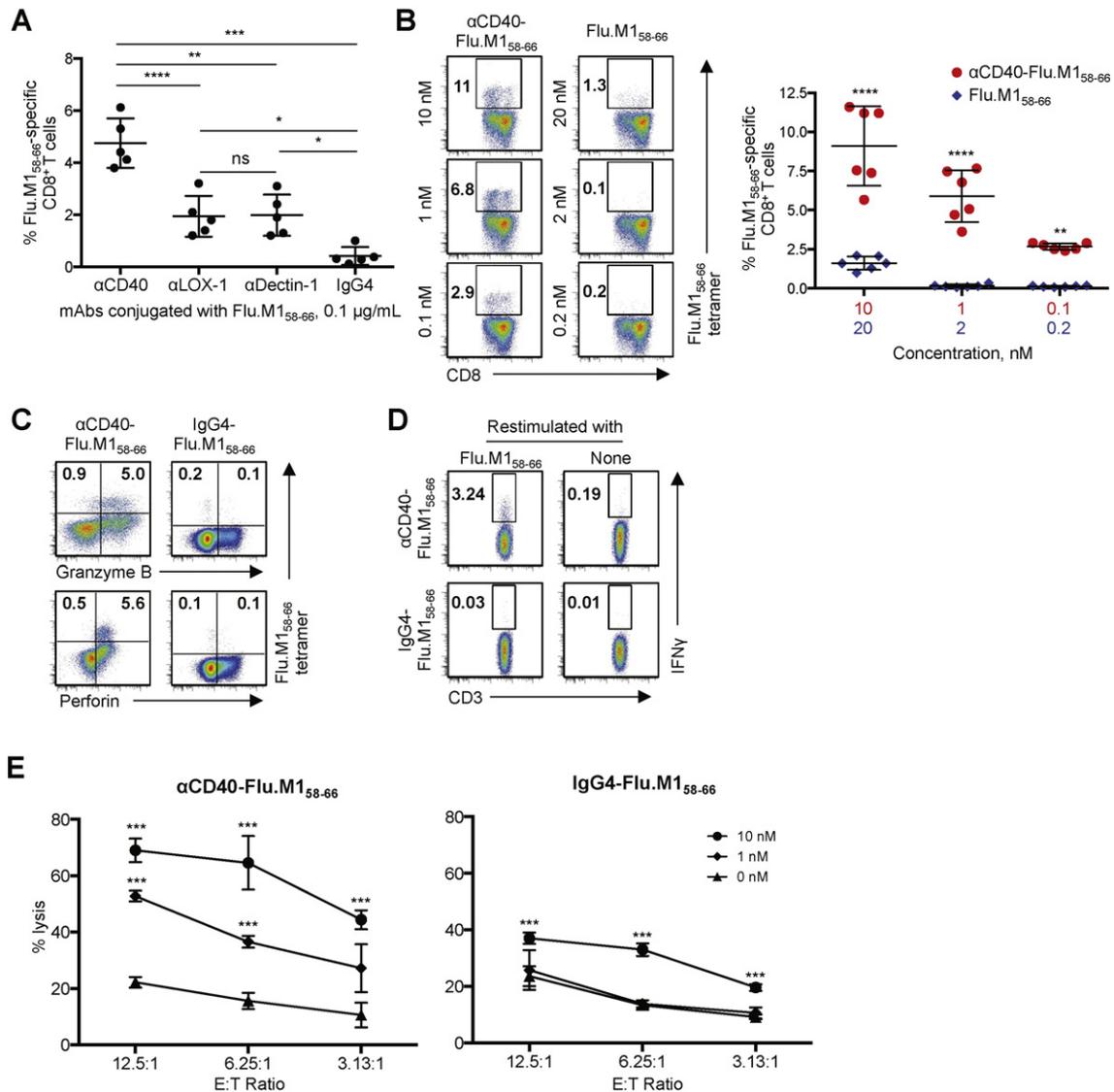


Fig. 3. The superiority of CD40 over LOX-1 and Dectin-1 for boosting functional memory CD8⁺ CTLs. **A–C.** Purified CD8⁺ T cells were co-cultured with Mo-DCs loaded with the indicated amounts of mAb-Flu.M1_{58–66} conjugates or Flu.M1_{58–66} peptide. CD8⁺ T cells were then stained with HLA-A*A0201-Flu.M1_{58–66} tetramer. **A.** Frequencies of Flu.M1_{58–66}-specific CD8⁺ T cells activated by Mo-DCs loaded with 0.1 μg/mL mAb-Flu.M1_{58–66} conjugates. Dots represent data generated with cells from healthy donors (n = 5). **B.** Frequencies of Flu.M1_{58–66}-specific CD8⁺ T cells elicited by Mo-DCs loaded with αCD40-Flu.M1_{58–66} at 10, 1, 0.1 nM, or with Flu.M1_{58–66} peptide at 20, 2, 0.2 nM. Each Flu.M1_{58–66} conjugate molecule contains two molecules of Flu.M1_{58–66} antigen. Representative flow cytometric data (left) and summarized data (mean ± SD) from five independent experiments (n = 6) are presented. **C.** CD8⁺ T cells activated with Mo-DCs loaded with αCD40-Flu.M1_{58–66} or IgG4-Flu.M1_{58–66} in **A** were further stained for granzyme B and perforin. Three independent experiments showed similar results. Representative flow cytometric data on the frequencies of Flu.M1_{58–66}-specific granzyme B⁺ or perforin⁺ CD8⁺ T cells are shown. **D.** CD8⁺ T cells activated with Mo-DCs loaded with αCD40-Flu.M1_{58–66} or IgG4-Flu.M1_{58–66} in **A** were restimulated with 1 μM Flu.M1 peptide, and intracellular IFNγ expression was assessed. Three independent experiments showed similar results. Representative flow cytometric data on the frequencies of Flu.M1_{58–66}-specific IFNγ⁺ CD8⁺ T cells are shown. **E.** A 5 h ⁵¹Cr release assay using T2 cells loaded with the indicated amounts of Flu.M1_{58–66} peptide. CD8⁺ T cells activated with Mo-DCs loaded with 0.1 μg/mL αCD40-Flu.M1_{58–66} or IgG4-Flu.M1_{58–66} were used as effector cells. Error bars indicate SD of triplicate assays. Three independent experiments resulted in similar data. Significance in **A, B** and **E** was determined using an ANOVA test. *, P < 0.05; **, P < 0.01; ***, P < 0.005; ****, P < 0.001; ns, not significant.

3.4. Distinct Functions of CD40 and Lectins (e.g., LOX-1 and Dectin-1) at Eliciting T Cell Responses

To further confirm the specialized function of CD40 for enhancing antigen cross-presentation to CD8⁺ T cells, we used recombinant fusion proteins of mAbs and influenza viral nucleoprotein (Flu.NP). Experiments performed with recombinant fusion proteins of mAbs and whole protein antigens (e.g., Flu.NP) are thought to be a more biologically relevant way to assess the ability of DCs to cross-present antigens and subsequently should be utilized for the rational design of vaccines against cancers and microbial infections. It also allows us to assess the multiple repertoires of antigen-specific CD8⁺ as well as CD4⁺ T cell responses. CFSE-labeled PBMCs were cultured for eight days with

αCD40-Flu.NP, αLOX-1-Flu.NP or αDectin-1-Flu.NP fusion proteins. They were then restimulated with a Flu.NP peptide pool to measure intracellular IFNγ expression. As shown in Fig. 4A (left panel), αCD40-Flu.NP fusion protein was more efficient than αLOX-1-Flu.NP or αDectin-1-Flu.NP fusion protein at activating Flu.NP-specific IFNγ⁺ CD8⁺ T cells. Data from nine independent experiments using cells from different healthy donors are summarized in Fig. 4A (right panel). Interestingly, however, αCD40-Flu.NP fusion protein was significantly less efficient than αLOX-1-Flu.NP or αDectin-1-Flu.NP fusion protein at activating Flu.NP-specific IFNγ⁺ CD4⁺ T cells (Fig. 4, left panel). Data from nine independent experiments further confirmed this (Fig. 4B, right panel). αLOX-1-Flu.NP and αDectin-1-Flu.NP fusion proteins resulted in similar levels of Flu.NP-specific

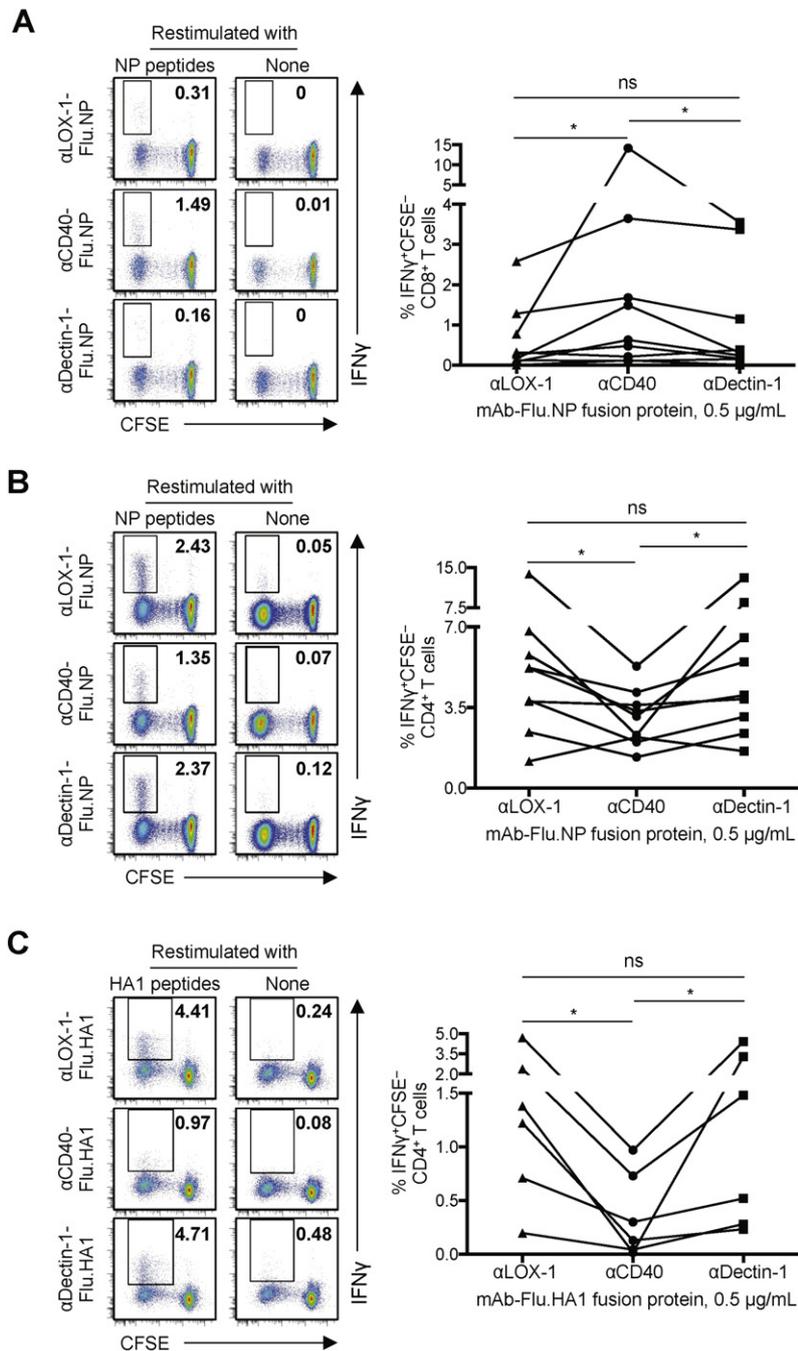


Fig. 4. Functional speciality of CD40 and lectins (e.g., LOX-1 and Dectin-1) in enhancing CD8⁺ and CD4⁺ T cell responses, respectively. **A–C.** CFSE-labeled PBMCs from healthy donors ($n \geq 6$) were cultured in the presence of 0.5 μ g/mL of the indicated (**A** and **B**) mAb-Flu.NP or (**C**) mAb-Flu.HA1 recombinant fusion proteins for 8 days. Cells were restimulated with NP in **A** and **B** or HA1 peptide pool in **C** at 1 μ M (of each peptide), and intracellular IFN γ expression in live (**A**) CD8⁺ and (**B** and **C**) CD4⁺ T cells was assessed. Representative flow cytometric data on the frequencies of CFSE⁻IFN γ ⁺ (**A**) CD8⁺ or (**B** and **C**) CD4⁺ T cells (left) and donor-matched frequencies of CFSE⁻IFN γ ⁺ (**A**) CD8⁺ and (**B** and **C**) CD4⁺ T cells (bottom) are shown. Dots represent data generated with cells from individual donors, and significance was determined using a paired *t*-test. *, $P < 0.05$; ns, not significant.

CD8⁺ (Fig. 4A) and CD4⁺ T cell responses (Fig. 4B). The difference between CD40 and the other two receptors at eliciting CD4⁺ T cell responses was further confirmed by assessing influenza hemagglutinin subunit 1 (Flu.HA1)-specific IFN γ ⁺ CD4⁺ T cell responses elicited with Flu.HA1 fusion proteins of the three mAbs (Fig. 4C). α CD40-Flu.HA1 fusion protein was less efficient than α LOX-1-Flu.HA1 or α Dectin-1-Flu.HA1 fusion protein at eliciting Flu.HA1-specific CD4⁺ T cell responses. We also measured Flu.HA1-specific CD8⁺ T cell responses (Supplemental Fig. 5), but there was no significant level of Flu.HA1-specific CD8⁺ T cell responses to the three mAb-Flu.HA1 fusion proteins. Previous studies (Lee et al., 2008; McMichael et al., 1986;

Townsend and Skehel, 1982) have shown that influenza-specific CD8⁺ memory T cells mostly target internal proteins, including Flu.NP, but not outer membrane proteins, such as Flu.HA1. Supplemental Fig. 6A and B demonstrate that the variability of the magnitude of Flu.NP- and Flu.HA1-specific T cell responses among donors (as observed in Fig. 4) was mainly due to the variability of the frequencies of pre-existing Flu.NP- and Flu.HA1-specific memory T cells of the donors. PBMCs from nine healthy donors were stimulated with Flu.NP or Flu.HA1 peptide pools. The frequencies of Flu.NP- and Flu.HA1-specific CD4⁺ and CD8⁺ T cells were measured by intracellular IFN γ staining. Taken together, we concluded that CD40 has a specialized function to

the fraction of α CD40, α LOX-1, or α Dectin-1 that overlaps with α EEA1 or α LAMP-1 mAb. Only ~10% of DCs showed co-localization of α CD40 and α LAMP-1 mAbs, while more than 75% of DCs showed co-localization of α CD40 and α EEA-1 mAbs. In contrast to α CD40 mAb, 35–45% of DCs showed co-localization of α LOX-1 and α LAMP-1 mAbs. α Dectin-1 mAb showed patterns of subcellular localization that were similar to what were observed with α LOX-1 mAb. Summarized data from nine donors, each with analyses done on at least 100 cells, are shown in Fig. 5C. Taken together, the patterns of subcellular and intracellular localization of CD40-bound α CD40 mAb were distinct from those of α LOX-1 and α Dectin-1 mAbs, which showed a high similarity.

3.6. CD40 Targeting Leads to Greater and Prolonged Antigen Cross-presentation to CD8⁺ T Cells

To further understand the mechanistic insights for the superiority of CD40 over other receptors, we investigated the kinetics of antigen presentation by DCs targeted with different mAb-Flu.M1_{58–66} conjugates. CFSE-labeled Flu.M1_{58–66}-specific CD8⁺ T cell lines were co-cultured with DCs incubated for different time periods (0, 3, 6, 12, and 24 h) with the three different mAb-Flu.M1_{58–66} conjugates (Fig. 6). CD8⁺ T cell proliferation was assessed on day 6 by measuring CFSE dilution. As shown in Fig. 6A and B, DCs loaded with α CD40-Flu.M1_{58–66} conjugate were more efficient than DCs loaded with the other two mAb-Flu.M1_{58–66} conjugates at all time points tested. This indicates

that CD40-targeted antigens can be more efficiently cross-presented in the context of MHC class I than LOX-1- or Dectin-1-targeted antigens. It also indicates that DCs targeted with antigens via CD40 are able to present antigens for a longer time period than DCs targeted with antigens via Dectin-1 or LOX-1. This prolonged antigen cross-presentation by CD40-targeted DCs was not due to the activation effects of α CD40 in the fusion protein, as assessed by measuring surface phenotypes of DCs as well as cytokine and chemokine secretion by DCs (data not shown). This was consistent with previously published data (Chatterjee et al., 2012). One clear difference between CD40 and lectins (e.g., LOX-1 and Dectin-1) was in the localization of mAbs (Fig. 5). The majority of α LOX-1 and α Dectin-1 was internalized within 1 h, but a large fraction of α CD40 remained at the plasma membrane. Nonetheless, some α CD40 was internalized (mainly to the early endosomes, which is an important path for antigen cross-presentation by DCs to CD8⁺ T cells) (Burgdorf et al., 2007, 2008; Cohn et al., 2013). In addition, the ability of CD40 to maintain α CD40 on the plasma membrane instead of being degraded after internalization could reflect a continuous release of antigens to early endosomes over an extended period of time for continuous antigen cross-presentation to CD8⁺ T cells (Fig. 6). Taken together, we concluded that antigen targeting to DCs via CD40 results in greater CD8⁺ T cell responses than targeting to LOX-1 and Dectin-1. This is due to enhanced antigen cross-presentation by DCs at early time points as well as for an extended time period, as shown in Fig. 6.

3.7. CD40 Targeting Evokes Potent CD8⁺ T Cell Responses in hCD40Tg Mice

Recombinant fusion proteins of α CD40 mAb (clone 12E12) and HPV16.E6/7 proteins (α CD40-HPV16.E6/7) were generated as described before (Flamar et al., 2013; Joo et al., 2014; Li et al., 2012). As shown in Fig. 7A, α CD40-HPV16.E6/7 bound to splenic CD11c⁺ DCs and B220⁺ B cells but not to CD3⁺ T cells from the hCD40Tg animals. α CD40-HPV16.E6/7 did not bind to any of the cell types from wild-type C57BL/6 mice (data not shown). Consistently, only spleens of hCD40Tg animals showed HPV16.E6/7-specific IFN γ ⁺ CD8⁺ (Fig. 7B) and CD4⁺ T cell responses (Fig. 7C), as assessed by IFN γ ELISpot assay, after receiving three doses of α CD40-HPV16.E6/7 (30 μ g/dose) plus poly(I:C) (50 μ g/dose) (Bonifaz et al., 2002; Gurer et al., 2008). Without poly(I:C), α CD40-HPV16.E6/7 could not elicit E6/7-specific T cell responses (data not shown).

Using the hCD40Tg animals, we were also able to compare CD40 and Langerin, another lectin receptor, for their ability to evoke antigen-specific CD8⁺ and CD4⁺ T cell responses *in vivo*. α Langerin mAb (clone 4C7) binds to both human and murine Langerin (Igyarto et al., 2011). In addition, α Langerin mAb injected intraperitoneally (i.p.) effectively targeted Langerin⁺ cells in mice (Igyarto et al., 2011). We thus immunized animals with combinations of poly(I:C) (50 μ g) plus either 30 μ g α CD40-HPV16.E6/7 or α Langerin-HPV16.E6/7 by i.p. three times at two-week intervals. Seven days after the second boosting, blood E7-specific CD8⁺ T cells were assessed by H-2D^b-RAHYNIVTF tetramer staining (Fig. 7D). Compared to animals immunized with α Langerin-HPV16.E6/7, those immunized with α CD40-HPV16.E6/7 had a higher percentage of tetramer⁺ CD8⁺ T cells. IFN γ ELISpot assays using CD8⁺ and CD4⁺ T cells purified from splenocytes also showed that animals immunized with α CD40-HPV16.E6/7 had more IFN γ ⁺ CD8⁺ T cells than those immunized with α Langerin-HPV16.E6/7 (Fig. 7E, left panel). However, α Langerin-HPV16.E6/7 was significantly more efficient than α CD40-HPV16.E6/7 at eliciting IFN γ ⁺ CD4⁺ T cell responses (Fig. 7E, right panel). It is also of note that animals immunized with α CD40-HPV16.E6/7 had more (>2-fold on average) CD8⁺ than CD4⁺ T cells that are specific for HPV16.E6/7, while those immunized with α Langerin-HPV16.E6/7 had more (>5-fold on average) CD4⁺ than CD8⁺ T cells. We also assessed HPV16.E6/7-specific T cell responses elicited after immunizing animals s.c. with the two recombinant fusion proteins.

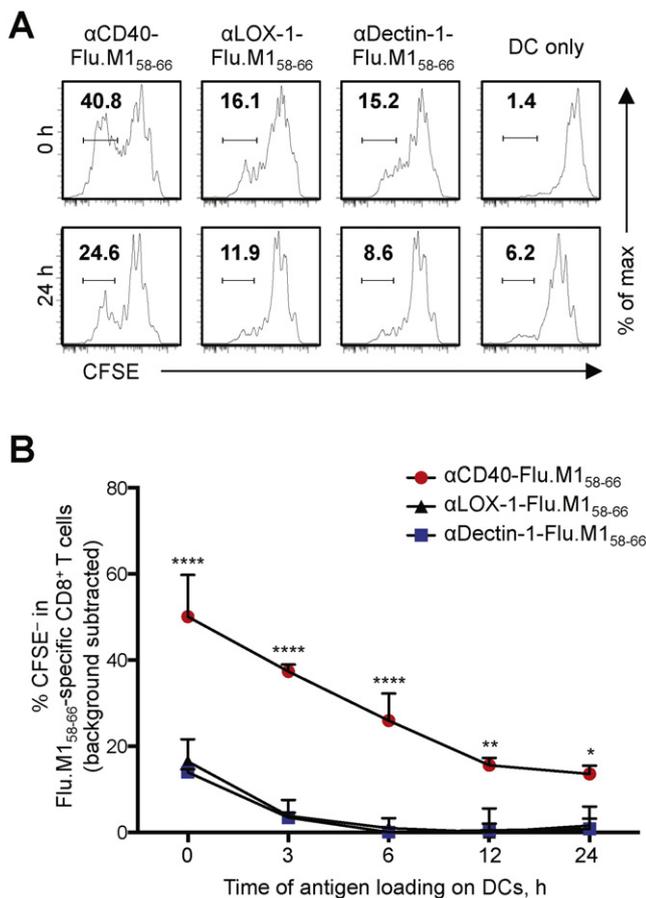


Fig. 6. Kinetics of antigen cross-presentation of DCs targeted via CD40, LOX-1, or Dectin-1. **A** and **B**. CFSE-labeled Flu.M1_{58–66}-specific CD8⁺ T cell lines were co-cultured with Mo-DCs pre-incubated for the indicated time periods with 1 nM (0.1 μ g/ml) mAb-Flu.M1_{58–66} fusion proteins. On day 6, CD8⁺ T cell proliferation was assessed by flow cytometry. **A**. Representative flow cytometric data from 0 and 24 h. **B**. Summarized data are presented as mean \pm SD of triplicate assays. Significance was determined using an ANOVA test. Two independent experiments resulted in similar data. *, $P < 0.05$; ***, $P < 0.005$; ****, $P < 0.001$.

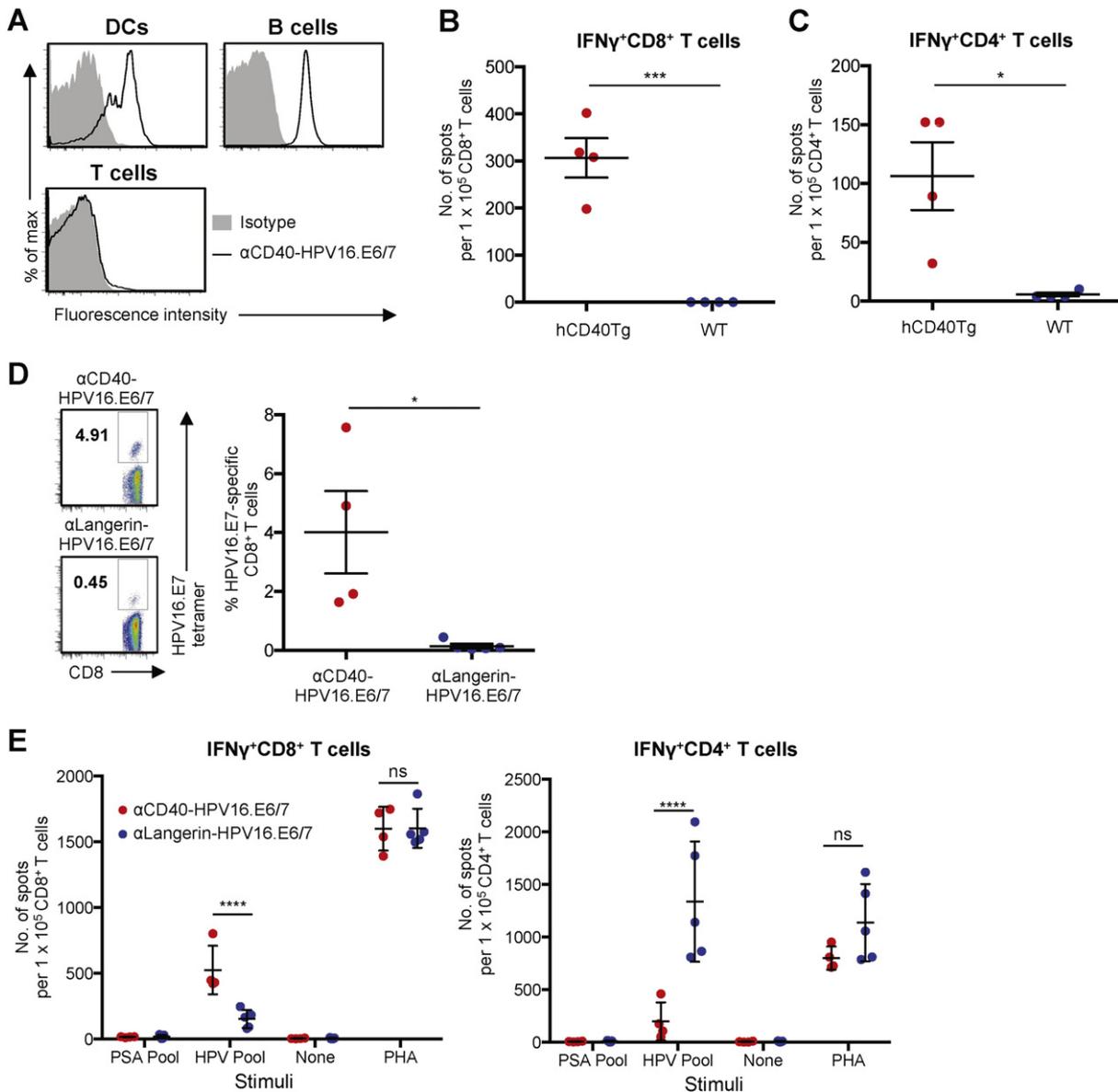


Fig. 7. Antigen targeting to CD40 can efficiently elicit antigen-specific CD8⁺ T cell responses in hCD40Tg mice. **A.** Binding of α CD40-HPV16.E6/7 (1 μ g/mL) to splenic CD11c⁺ DCs, B220⁺ B cells, and CD3⁺ T cells of hCD40Tg mouse. **B** and **C.** hCD40Tg or WT animals ($n = 4$ per group) were immunized s.c. with a combination of α CD40-HPV16.E6/7 (30 μ g/dose) and poly(I:C) (50 μ g/dose) in 100 μ L PBS. Animals were boosted twice with the same vaccine at two-week intervals and were sacrificed 7 days after the second boost. IFN γ ELISpot assays were performed on **(B)** CD8⁺ and **(C)** CD4⁺ T cells purified from splenocytes with HPV16.E6/7 peptide pool at 1 μ M as stimulus. **D** and **E.** hCD40Tg animals were immunized i.p. with a combination of poly(I:C) (50 μ g/dose) and α CD40-HPV16.E6/7 (30 μ g/dose) or α Langerin-HPV16.E6/7 (30 μ g/dose) in 100 μ L PBS ($n = 4$ per group). Animals were boosted twice with the same vaccine at two-week intervals and were sacrificed 7 days after the second boost. **D.** CD8⁺ T cells in peripheral blood were stained with H-2D^b-HPV16.E7_{RAHYNVTF} tetramer. Left, representative flow cytometry data. Right, summarized data. **E.** IFN γ ELISpot assays were performed on CD8⁺ (left) and CD4⁺ (right) T cells purified from splenocytes. Dots represent data generated with individual animals. All data are presented as mean \pm SD. Significance was determined using a *t*-test in **(B–D)** or ANOVA test in **(E)**. *, $P < 0.05$; ****, $P < 0.001$; ns, not significant.

Supplemental Fig. 8A shows that α CD40-HPV16.E6/7 was significantly more effective than α Langerin-HPV16.E6/7 at eliciting HPV16.E7-specific CD8⁺ T cell responses, as measured by staining CD8⁺ T cells in the blood with tetramer. ELISpot data generated with purified CD8⁺ and CD4⁺ T cells from splenocytes also showed that α CD40-HPV16.E6/7 was more efficient than α Langerin-HPV16.E6/7 at eliciting E6/7-specific IFN γ ⁺ CD8⁺ T cell responses (Supplemental Fig. 8B, left panel), while α Langerin-HPV16.E6/7 was more efficient than α CD40-HPV16.E6/7 at eliciting IFN γ ⁺ CD4⁺ T cell responses (Supplemental Fig. 8B, right panel). A previous study (Idoyaga et al., 2011) demonstrated that antigen targeting to Langerin or DEC205 resulted in comparable levels of antigen-specific IFN γ ⁺ CD8⁺ T cell responses in mice. Our human *in vitro* data (Figs. 1 and 2) demonstrate that CD40 targeting is significantly

more efficient than LOX-1, Dectin-1 or DEC205 targeting that showed similar levels of CD8⁺ T cell responses. Taking all of these findings together, we concluded that targeting antigen to CD40 is an efficient strategy to evoke antigen-specific CD8⁺ T cell responses.

4. Discussion

Understanding the biology of human DC surface receptors and the functional consequences of the actions of individual receptors is fundamental for the rational design of medicines for cancers, inflammatory diseases (including autoimmune diseases) and microbial infections. Of the many different receptors expressed on the surface of DCs, lectin-like receptors are considered to be one of the major pattern-recognition receptor families. Some of these

receptors, Dectin-1 (Duluc et al., 2014; Joo et al., 2015; LeibundGut-Landmann et al., 2007), DCIR (Fujikado et al., 2008), DC-SIGN (Geijtenbeek and Gringhuis, 2009), LOX-1 (Joo et al., 2014), and DC-ASGPR (Li et al., 2012), are known to play important roles in shaping the quality and quantity of host immune responses. However, the ability of these receptors to capture antigens and deliver them to intracellular compartments makes them novel targets for DC antigen delivery to enhance antigen cross-presentation to T cells. Nonetheless, one major question still remains: “Which targeted receptor results in optimal antigen cross-presentation to T cells?” This study has demonstrated that CD40 is superior to nine other lectins and scavenger receptors at cross-presenting antigen to CD8⁺ T cells. This was confirmed with both a tumor-associated self antigen and different forms of viral antigens. Interestingly, however, DC lectins (e.g., LOX-1 Dectin-1 and Langerin) were superior to CD40 at presenting antigens to CD4⁺ T cells.

To further understand such functional specialization of CD40, we examined the subcellular and intracellular localization of receptor-bound mAbs in DCs. Previous studies (Burgdorf et al., 2007, 2008) showed that early endosomes are essential for the cross-presentation of antigens. Recently, Cohn (Cohn et al., 2013) and Chatterjee (Chatterjee et al., 2012) also showed that antigen delivery to early endosomes could result in enhanced antigen cross-presentation to CD8⁺ T cells, although antigens in late endosomes and lysosomes can also be cross-presented. However, these late compartments are far less efficient for cross-presentation of some antigens. This was due to a higher concentration of lysosomal enzymes, which degrade antigens before they can be released into the cytosol. In line with this, inhibiting proteolysis enhances the ability of the late compartments to cross-present accumulated antigens (Chatterjee et al., 2012). In this study, we found that significant fractions of receptor-bound α LOX-1 and α Dectin-1 mAbs also localized to the early endosomes, although targeting CD40 was far more efficient at eliciting CD8⁺ T cell responses than targeting LOX-1 or Dectin-1. Quantitative analysis of the intracellular compartments across nine different donors further revealed that α CD40 mAb localized mainly to the early endosomes, but α LOX-1 and α Dectin-1 localized to both the early and late endosomes. This suggested that there could be other critical factors in addition to the roles of early endosomes that can further influence the efficiency of antigen cross-presentation by DCs via MHC class I molecules. Accordingly, we showed that a large fraction of α CD40 mAb remained at the plasma membrane even after a 1-h incubation at 37 °C, whereas the majority of both α LOX-1 and α Dectin-1 mAbs were internalized into endosomal vesicles. Slow internalization to early endosomes or rapid antigen recycling, as speculated previously (Chatterjee et al., 2012; Cohn et al., 2013), could result in increased antigen stability, followed by prolonged antigen presentation and enhanced CD8⁺ T cell responses, as we have demonstrated in Fig. 6.

In addition to such distinct properties of antibody-bound CD40 versus the lectins (LOX-1 and Dectin-1) described above, one may also consider the possible contribution of α CD40-mediated activation signals in the enhanced antigen cross-presentation after targeting CD40. Previous studies in mice (Bennett et al., 1998; Ridge et al., 1998; Schoenberger et al., 1998) showed that interactions between APCs (including DCs) and helper T cells via CD40–CD40L has been suggested to activate APCs to become fully competent for CD8⁺ T cell priming. Recent studies have also shown that other DC activators, including toll-like receptor (TLR) ligands and type 1 IFN, can also promote antigen cross-presentation (Datta et al., 2003; Maurer et al., 2002; Schulz et al., 2005; Watts et al., 2007; Wei et al., 2010). However, recombinant fusion proteins of α CD40 and antigens used in this study were not able to induce DCs to secrete cytokines or chemokines or induce surface phenotype maturation. This was in line with the previous observation (Chatterjee et al., 2012) that the enhanced antigen cross-presentation by CD40-targeted human DCs was not due to the CD40-mediated activation signals. Nonetheless, questions regarding the possible contribution of CD40 signaling in enhanced antigen cross-presentation may

need to be more carefully studied in the future. Apart from the question on mechanistic insights, we may also need to consider the possible effects of α CD40 bound to CD40 on CD40–CD40L interaction *in vivo*, although this may not be a critical issue if a proper DC activator is included as an adjuvant in the CD40 targeting vaccines, as most likely it would be (Fig. 7).

In vivo data generated using hCD40Tg animals further demonstrate that antigen targeting to CD40 is an efficient way to evoke antigen-specific CD8⁺ T cell responses *in vivo*. Although we could not compare CD40 with LOX-1 or Dectin-1 in this animal model due to the limited specificities of mAbs (α LOX-1 and α Dectin-1) to human receptors, we were able to verify that CD40 targeting was significantly more efficient than Langerin (another lectin receptor) targeting for the elicitation of antigen-specific CD8⁺ T cell responses *in vivo*. In addition, our *in vivo* data further demonstrate that targeting CD40 results in greater CD8⁺ than CD4⁺ T cell responses, while Langerin targeting results in greater CD4⁺ than CD8⁺ T cell responses. A previous study (Chatterjee et al., 2012) has already demonstrated that antigen targeting to three different lectin receptors, Langerin, DEC205, and Clec9A, resulted in comparable levels of antigen-specific IFN γ ⁺ CD8⁺ T cell responses in mice. Data (Figs. 1 and 2) from this study illustrated that targeting LOX-1, Dectin-1 or DEC205 resulted in comparable levels of antigen-specific CD8⁺ T cell responses, but they were less efficient than targeting CD40. Taking all of these data together, CD40 targeting is more efficient than targeting the lectin receptors tested in this study. Consistent with both LOX-1 and Dectin-1, antigen targeting to Langerin, a c-type lectin receptor expressed on Langerhans cells as well as fractions of dermal DCs (Bonifaz et al., 2004; Delamarre et al., 2003) and CD8 α ⁺ DCs (Delneste, 2004) in mice, resulted in greater levels of antigen-specific CD4⁺ T cell responses.

In summary, this study reports specialized functions of CD40 versus lectins (e.g., Dectin-1, LOX-1 and Langerin) expressed on the surface of DCs. Data from this study also provide fundamental information for the rational design of vaccines against cancers and viral infections. In spite of recent success with the inhibitors of immune checkpoints (e.g., α CTLA4, α PD-1, and α PD-L1 antibodies), particularly in cancer immunotherapy, there is still a need for boosting tumor-specific immunity for better treatment outcomes. CD40 targeting vaccines could thus be combined with such checkpoint inhibitors to provide cancer patients with better clinical benefit that need to be tested in the near future.

Author Contributions

W.Y., L.G., D.L., D.D., H.J., K.U., C.G., R.O., J.R.K., L.N., Y.X., Z.W., S.Z., and J.-P.G. performed experiments. W.Y., L.G., D.L., L.N., D.D., H.J., J.-P.G., G.Z., and S.O. designed the experiments and analyzed the data. W.Y. and S.O. wrote the manuscript. S.O. supervised the study.

Conflict of Interest

The authors have no conflicting financial interests, except that D.L., G.Z., S.Z., and S.O. are named inventors of patents relating to DC targeting that are held by Baylor Research Institute.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.ebiom.2016.01.029>.

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